

VIA eFILE  
Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 1 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A1	287,046	Norton
—	A2	1,088,393	Backus
—	A3	1,331,401	Summers
—	A4	2,087,074	Tucker
—	A5	2,453,227	James
—	A6	2,684,070	Kelsey
—	A7	2,944,311	Schneckenberger
—	A8	2,969,887	Darmstadt et al.
—	A9	3,015,403	Fuller
—	A10	3,142,878	Santora
—	A11	3,482,428	Kapitanov et al.
↓	A12	3,586,002	Wood

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A13	3,604,425	09/14/1971	Le Roy
A14	3,677,243	09/24/1971	Nerz
A15	3,757,629	09/11/1973	Schneider
A16	3,805,337	04/23/1974	Branstetter
A17	3,944,114	03/16/1976	Coppens
A18	4,014,492	03/29/1977	Rothfuss
A19	4,192,315	03/11/1980	Hilzinger et al.
A20	4,207,870	06/17/1980	Eldridge
A21	4,217,902	08/19/1980	March
A22	4,278,091	07/14/1981	Borzone
A23	4,318,401	03/09/1982	Zimmerman
A24	4,345,606	08/24/1982	Littleford
A25	4,396,139	08/02/1983	Hall et al.
↓ A26	4,411,654	10/25/1983	Boarini et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A27	4,412,832	11/01/1983	Kling et al.
A28	4,428,376	01/31/1984	Mericle
A29	4,485,816	12/04/1984	Krumme
A30	4,505,273	03/19/1985	Braun et al.
A31	4,505,274	03/19/1985	Speelman
A32	4,523,695	06/18/1985	Braun et al.
A33	4,525,157	06/25/1985	Valaincourt
A34	4,586,503	05/06/1986	Kirsch et al.
A35	4,607,638	08/26/1986	Crainich
A36	4,610,251	09/09/1986	Kumar
A37	4,610,252	09/09/1986	Catalano
A38	4,665,906	05/19/1987	Jervis
A39	4,687,469	08/18/1987	Osypka
↓ A40	4,724,840	02/16/1988	McVay et al

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A41	4,738,658	04/19/1988	Magro et al.
A42	4,744,364	05/17/1988	Kensey
A43	4,771,782	09/20/1988	Millar
A44	4,772,266	09/20/1988	Groshong
A45	4,777,950	10/18/1988	Kees, Jr.
A46	4,789,090	12/06/1988	Blake, III
A47	4,832,688	05/23/1989	Sagae et al.
A48	4,836,204	06/06/1989	Landymore et al.
A49	4,852,568	08/01/1989	Kensey
A50	4,860,746	08/29/1989	Yoon
A51	4,865,026	09/12/1989	Barrett
A52	4,874,122	10/17/1989	Froelich et al.
A53	4,878,915	11/07/1989	Brantigan
↓ A54	4,887,601	12/19/1989	Richards

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Examiner Initial*	Document Number	Date	Name
/MT/ A55	4,917,087	04/17/1990	Walsh et al.
A56	4,934,364	06/19/1990	Green
A57	4,950,258	08/21/1990	Kawai et al.
A58	5,007,921	04/16/1991	Brown
A59	5,015,247	05/14/1991	Michelson
A60	5,021,059	06/04/1991	Kensey et al.
A61	5,026,390	06/25/1991	Brown
A62	5,053,008	10/01/1991	Bajaj
A63	5,061,274	10/29/1991	Kensey
A64	5,100,418	03/31/1992	Yoon et al.
A65	5,108,420	04/28/1992	Marks
A66	5,108,421	04/28/1992	Fowler
A67	5,114,032	05/19/1992	Laidlaw
✓ A68	5,114,065	05/19/1992	Storace

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A69	07/21/1992	Sewell, Jr.
	A70	09/15/1992	Heimerl et al.
	A71	10/20/1992	Nakao et al.
	A72	12/01/1992	Lynn
	A73	12/15/1992	Stefanchik et al.
	A74	01/05/1993	Holmes et al.
	A75	03/09/1993	Fowler
	A76	03/09/1993	Kamiya et al.
	A77	03/09/1993	Kensey et al.
	A78	05/11/1993	Seedhorm et al.
	A79	06/29/1993	Kensey et al.
	A80	09/21/1993	Mai
	A81	01/04/1994	Fowler
✓	A82	03/01/1994	Chodorow et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	A83	5,290,310	03/01/1994	Makower et al.
	A84	5,292,309	03/08/1994	Van Tassel et al.
	A85	5,292,332	03/08/1994	Lee
	A86	5,304,184	04/19/1994	Hathaway et al.
	A87	5,306,254	04/26/1994	Nash et al.
	A88	5,318,542	06/07/1994	Hirsch et al.
	A89	5,320,639	06/14/1994	Rudnick
	A90	5,334,216	08/02/1994	Vidal et al.
	A91	5,334,217	08/02/1994	Das
	A92	5,350,399	09/27/1994	Erlebacher et al.
	A93	5,352,229	10/04/1994	Goble et al.
	A94	5,366,458	11/22/1994	Korthoff et al.
	A95	5,366,479	11/22/1994	McGarry et al.
↓	A96	5,395,030	03/07/1995	Kuramoto et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A97	05/02/1995	Nash al.
	A98	05/09/1995	Katsaros et al.
	A99	05/16/1995	Kay
	A100	05/23/1995	Klein et al.
	A101	07/11/1995	Shaw
	A102	08/22/1995	Lee
	A103	10/10/1995	Shichman et al.
	A104	11/28/1995	Rothfuss et al.
	A105	12/12/1995	Mai
	A106	12/26/1995	Fowler
	A107	12/26/1995	Tovey et al.
	A108	07/18/1994	Yoon et al.
	A109	01/23/1996	Myers et al.
↓	A110	04/16/1996	Gresl et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A111	06/04/1996	Krajicek
	A112	06/18/1996	Klein et al.
	A113	07/16/1996	Evard et al.
	A114	08/13/1996	Crainich
	A115	10/01/1996	DeFonzo et al.
	A116	01/07/1997	Fowler
	A117	02/11/1997	Fowler
	A118	04/15/1997	Muijs et al.
	A119	07/01/1997	Tsukernik et al.
	A120	07/08/1997	Rudd et al.
	A121	07/08/1997	Brenneman et al.
	A122	07/08/1997	Crainich
	A123	10/07/1997	Green et al.
↓	A124	10/14/1997	Kensey et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	A125	5,683,405	11/04/1997	Yacoubian et al.
	A126	5,690,674	11/25/1997	Diaz
	A127	5,695,504	12/09/1997	Gifford, III et al.
	A128	5,695,505	12/09/1997	Yoon
	A129	5,716,375	02/10/1998	Fowler
	A130	5,725,554	03/10/1998	Simon et al.
	A131	5,728,114	03/17/1998	Evans et al.
	A132	5,728,122	03/17/1998	Leschinsky et al.
	A133	5,732,872	03/31/1998	Bolduc et al.
	A134	5,782,844	07/21/1998	Yoon et al.
	A135	5,782,860	07/21/1998	Epstein et al.
	A136	5,782,861	07/21/1998	Cragg et al.
	A137	5,810,846	09/22/1998	Virnich et al.
↓	A138	5,810,851	09/22/1998	Yoon

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	A139	5,817,113	10/06/1998	Gifford, III et al.
	A140	5,820,631	10/13/1998	Nobles
	A141	5,830,125	11/03/1998	Scribner et al.
	A142	5,855,312	01/05/1999	Toledano
	A143	5,861,005	01/19/1999	Kontos
	A144	5,871,474	02/16/1999	Hermann et al.
	A145	5,871,501	02/16/1999	Leschinsky et al.
	A146	5,871,525	02/16/1999	Edwards et al.
	A147	5,879,366	03/09/1999	Shaw et al.
	A148	5,902,310	05/11/1999	Foerster et al.
	A149	5,910,155	06/08/1999	Ratcliff et al.
	A150	5,922,009	07/13/1999	Epstein et al.
	A151	5,935,147	08/10/1999	Kensey et al.
↓	A152	5,941,890	08/24/1999	Voegele et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A153	09/14/1999	Licata et al.
	A154	09/14/1999	Epstein et al.
	A155	10/12/1999	Lafontaine et al.
	A156	11/16/1999	Ashby et al.
	A157	11/16/1999	Levin
	A158	12/21/1999	Zhu et al.
	A159	12/28/1999	Nash et al.
	A160	02/08/2000	Kontos
	A161	03/14/2000	Abrams et al.
	A162	04/04/2000	Epstein et al.
	A163	04/11/2000	Barak
	A164	05/02/2000	Cates et al.
	A165	05/02/2000	Epstein et al.
↓	A166	05/02/2000	Epstein et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A167	05/16/2000	Tay et al.
—	A168	06/20/2000	Das
—	A169	06/20/2000	Das
—	A170	06/27/2000	Shaw et al.
—	A171	06/27/2000	Tsugita et al.
—	A172	07/18/2000	Nash et al.
—	A173	08/29/2000	Weadock
—	A174	09/05/2000	Swanson et al.
—	A175	09/12/2000	Ravo
—	A176	09/19/2000	Taheri
—	A177	11/21/2000	Laufer et al.
—	A178	11/28/2000	Peterson et al.
—	A179	03/06/2001	Ginn et al.
↓	A180	08/21/2001	Ginn et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A181	6,287,322	09/11/2001	Zhu et al.
A182	6,305,891	10/23/2001	Burlingame
A183	6,322,580	11/27/2001	Kanner
A184	6,334,865	01/01/2002	Redmond et al.
A185	6,348,064	02/19/2002	Kanner
A186	6,391,048	05/21/2002	Ginn et al.
A187	6,398,752	06/04/2002	Sweezer et al.
A188	6,402,765	06/11/2002	Monassevitch et al.
A189	6,409,739	06/25/2002	Nobles et al.
A190	6,443,158	09/03/2002	Lafontaine et al.
A191	6,450,391	09/17/2002	Kayan et al.
A192	6,461,364	10/08/2002	Ginn et al.
A193	6,488,692	12/03/2002	Spence et al.
↓ A194	6,506,210	01/14/2003	Kanner

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	
/MT/	A195	6,533,762	03/18/2003	Kanner et al.
	A196	6,547,806	04/15/2003	Ding
	A197	6,582,452	06/24/2003	Coleman et al.
	A198	6,602,263	08/05/2003	Swanson et al.
	A199	6,616,686	09/09/2003	Coleman et al.
	A200	6,623,510	09/23/2003	Carley et al.
	A201	6,626,918	09/30/2003	Ginn et al.
	A202	6,632,238	10/14/2003	Ginn et al.
	A203	6,634,537	10/21/2003	Chen
	A204	6,652,538	11/25/2003	Kayan et al.
	A205	6,679,904	01/20/2004	Gleeson et al.
	A206	6,695,867	02/24/2004	Ginn et al.
	A207	6,719,777	04/13/2004	Ginn et al.
↓	A208	6,726,704	04/27/2004	Loshakove et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/	A209	06/29/2004	Kanner et al.
	A210	07/27/2004	Kanner et al.
	A211	02/21/2002	Carley et al.
	A212	08/09/2005	Coleman et al.
	A213	03/26/1985	Osborne
	A214	02/21/1995	Kensey et al.
	A215	2001/0007077	Ginn et al.
	A216	2001/0047180	Grudem et al.
	A217	2002/0026208	Belef
	A218	2002/0026215	Redmond et al.
	A219	2002/0049472	Coleman et al.
	A220	2002/0072768	Ginn
	A221	2002/0077657	Ginn et al.
✓	A222	2002/0082641	Ginn et al.

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/MT/	A223	2002/0107542	Kanner et al.
	A224	2002/0151921	Kanner et al.
	A225	2002/0193808	Belef et al.
	A226	2003/0004543	Gleeson et al.
	A227	2003/0009180	Hinchliffe et al.
	A228	2003/0078598	Ginn et al.
	A229	2003/0093096	McGuckin et al.
	A230	2003/0097140	Kanner
	A231	2003/0109890	Kanner et al.
	A232	2003/0125766	Ding
	A233	2003/0158577	Pantages et al.
	A234	2003/0158578	Pantages et al.
	A235	2004/0010285	Carley et al.
↓	A236	2004/0087985	Loshakove et al.

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Form PTO-1449

Applicant: Michael T. Carley et al.  
Serial No.: 10/787,073  
Filing Date: February 24, 2004  
For: CLOSURE DEVICE

Sheet 18 of 22  
Confirmation No.: 9513  
Att'y Docket No.: 16497.1.1.2.1  
Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/A237	2004/0010285	01/15/2004	Carley et al.
A238	2004/0039414	02/26/2004	Carley et al.
A239	2004/0254591	12/16/2004	Kanner et al.
A240	2004/0267312	12/30/2004	Kanner et al.
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Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
/MT/A242	DE 297 23 736 U 1	04/01/1999	Germany
A243	DE 197 11 288	01/10/1998	Germany
A244	EP 0 386 361	09/12/1990	EPO
A245	EP 0 534 696	03/31/1993	EPO
A246	EP 0 756 851	02/05/1997	EPO
↓ A247	EP 0 774 237	05/21/1997	EPO

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Art Unit: 3731

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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↓ A261	WO 00/71032	11/30/2000	PCT

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
/MT/ A262	WO 00/56223	09/28/2000	PCT
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Other Documents  
(including author, title, pertinent pages, etc.)

Examiner  
Initial\*

/MT/ A273

Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.

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Date Considered: 08/20/2007

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### References Cited by Applicants

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Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
/MT/ A1	5,728,132	03/17/1998	Van Tassel et al.
/MT/ A2	6,149,667	11/21/2000	Hovland et al.

**References Cited by Applicants**

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